

A Well–Balanced Reconstruction of Wet/Dry Fronts for the Shallow Water Equations

Andreas Bollermann[†], Guoxian Chen^{‡†},
Alexander Kurganov[§], and Sebastian Noelle^{*†}

revised version

Bericht Nr. 313

Dezember 2012

Key words: Hyperbolic systems of conservation and balance laws,
Saint–Venant system of shallow water equations,
finite volume methods, well–balanced schemes,
positivity preserving schemes, wet/dry fronts.

AMS subject classifications : 76M12, 35L65

**Institut für Geometrie und Praktische Mathematik
RWTH Aachen**

Templergraben 55, D–52056 Aachen (Germany)

* Corresponding author. noelle@igpm.rwth-aachen.de

† IGPM, RWTH Aachen University of Technology, Templergraben 55, 52062 Aachen, Germany.

‡ School of Mathematics and Statistics, Wuhan University, Wuhan, 430072, P.R. China.

§ Mathematics Department, Tulane University, New Orleans, LA 70118, USA.

A WELL-BALANCED RECONSTRUCTION OF WET/DRY FRONTS FOR THE SHALLOW WATER EQUATIONS

ANDREAS BOLLERMANN [†], GUOXIAN CHEN ^{‡†}, ALEXANDER KURGANOV [§], AND SEBASTIAN NOELLE ^{*}

Abstract.

In this paper, we construct a well-balanced, positivity preserving finite volume scheme for the shallow water equations based on a continuous, piecewise linear discretization of the bottom topography. The main new technique is a special reconstruction of the flow variables in wet-dry cells, which is presented in this paper for the one dimensional case. We realize the new reconstruction in the framework of the second-order semi-discrete central-upwind scheme from (A. Kurganov and G. Petrova, *Commun. Math. Sci.*, 2007). The positivity of the computed water height is ensured following (A. Bollermann, S. Noelle and M. Lukáčová, *Commun. Comput. Phys.*, 2010): The outgoing fluxes are limited in case of draining cells.

Key words. Hyperbolic systems of conservation and balance laws, Saint-Venant system of shallow water equations, finite volume methods, well-balanced schemes, positivity preserving schemes, wet/dry fronts.

AMS subject classifications. 76M12, 35L65

1. Introduction

We study numerical methods for the Saint-Venant system of shallow water equations [3], which is widely used for the flow of water in rivers or in the ocean. In one dimension, the Saint-Venant system reads:

$$\begin{cases} h_t + (hu)_x = 0, \\ (hu)_t + \left(hu^2 + \frac{1}{2}gh^2\right)_x = -ghB_x, \end{cases} \quad (1.1)$$

subject to the initial conditions

$$h(x, 0) = h_0(x), \quad u(x, 0) = u_0(x),$$

where $h(x, t)$ is the fluid depth, $u(x, t)$ is the velocity, g is the gravitational constant, and the function $B(x)$ represents the bottom topography, which is assumed to be independent of time t and possibly discontinuous. The systems (1.1) is considered in a certain spatial domain X and if $X \neq \mathbb{R}$ the Saint-Venant system must be augmented with proper boundary conditions.

In many applications, quasi steady solutions of the system (1.1) are to be captured using a (practically affordable) coarse grid. In such a situation, small perturbations of steady states may be amplified by the scheme and the so-called numerical storm can spontaneously develop [19]. To prevent it, one has to develop a well-balanced scheme—a scheme that is

*Corresponding author. noelle@igpm.rwth-aachen.de

[†]IGPM, RWTH Aachen University of Technology, Templergraben 55, 52062, Aachen, Germany.

[‡]School of Mathematics and Statistics, Wuhan University, Wuhan, 430072, P.R. China.

[§]Mathematics Department, Tulane University, New Orleans, LA 70118, USA.

capable of exactly balancing the flux and source terms so that “lake at rest” steady states,

$$u = 0, \quad w := h + B = \text{Const.} \quad (1.2)$$

are preserved within the machine accuracy. Here, w denotes the total water height or *free surface*. Examples of such schemes can be found in [1, 2, 4, 5, 8, 9, 11–14, 19–23, 30, 31].

Another difficulty one often has to face in practice is related to the presence of dry areas (island, shore) in the computational domain. As the eigenvalues of the Jacobian of the fluxes in (1.1) are $u \pm \sqrt{gh}$, the system (1.1) will not be strictly hyperbolic in the dry areas ($h=0$), and if due to numerical oscillations h becomes negative, the calculation will simply break down. It is thus crucial for a good scheme to preserve the positivity of h (positivity preserving schemes can be found, e.g., in [1, 2, 4, 11, 12, 20, 21]).

We would also like to point out that when $h=0$ the “lake at rest” steady state (1.2) reduces to

$$hu = 0, \quad h = 0, \quad (1.3)$$

which can be viewed as a “dry lake”. A good numerical scheme may be considered “truly” well-balanced when it is capable of exactly preserving both “lake at rest” and “dry lake” steady states, as well as their combinations corresponding to the situations, in which the domain X is split into two nonoverlapping parts X_1 (wet area) and X_2 (dry area) and the solution satisfies (1.2) in X_1 and (1.3) in X_2 .

We focus on Godunov-type schemes, in which a numerical solution realized at a certain time level by a global (in space) piecewise polynomial reconstruction, is evolved to the next time level using the integral form of the system of balance laws. In order to design a well-balanced scheme for (1.1), it is necessary that this reconstruction respects both the “lake at rest” (1.2) and “dry lake” (1.3) steady-state solutions as well as their combinations. On the other hand, to preserve positivity we have to make sure that the reconstruction preserves a positive water height for all reconstructed values. Both of this has been achieved by the hydrostatic reconstruction introduced by Audusse et al. [1], based on a *discontinuous*, piecewise smooth discretisation of the bottom topography. In this paper, we consider a *continuous*, piecewise linear reconstruction of the bottom. We propose a piecewise linear reconstruction of the flow variables that also leads to a well-balanced, positivity preserving scheme. The new reconstruction is based on the proper discretization of a front cell in the situation like the one depicted in Figure 1.1. The picture depicts the real situation with a sloping shore, and we see a discretization of the same situation that seems to be the most suitable from a numerical perspective. We also demonstrate that the correct handling of (1.2), (1.3) and their combinations leads to a proper treatment of non-steady states as well.

Provided the reconstruction preserves positivity, we can prove that the resulting central-upwind scheme is positivity preserving. In fact, the proof from [12] carries over to the new

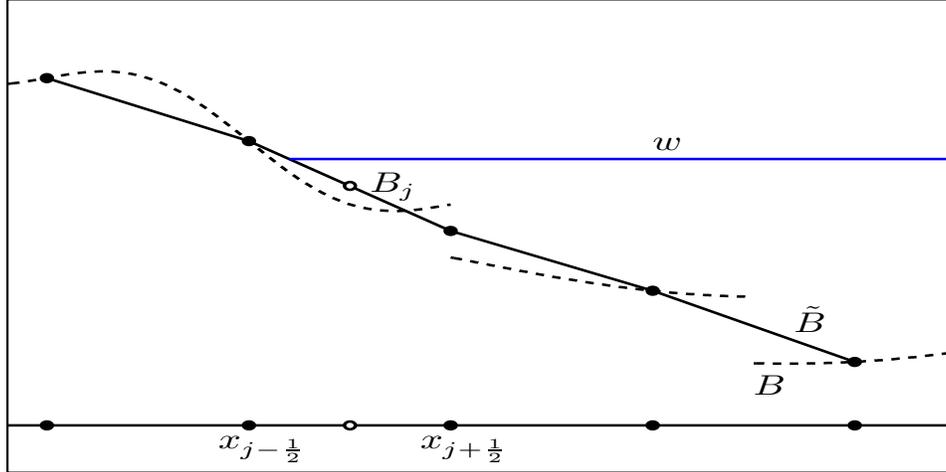


FIG. 1.1. “Lake at rest” steady state w with dry boundaries upon a piecewise smooth topography B (dashed line), which is reconstructed using piecewise linear, continuous \tilde{B} (full line).

64 scheme, but with a possibly severe time step constraint. We therefore adopt a technique
 65 from [2] and limit outgoing fluxes whenever the so-called local draining time is smaller than
 66 the global time step. This approach ensures positive water heights without a reduction of
 67 the global time step.

68 The paper is organized as follows. In §2, we briefly review the well-balanced positivity
 69 preserving central-upwind scheme from [12]. A new positivity preserving reconstruction is
 70 presented in §3. The well-balancing and positivity preserving properties of the
 71 new scheme are proven in §4. Finally, we demonstrate the performance of the proposed
 72 method in §5.

73 **2. A Central-Upwind Scheme for the Shallow Water Equations**

74 Our work will be based on the central-upwind scheme proposed in [12]. We will therefore
 75 begin with a brief overview of the original scheme.

76 We introduce a uniform grid $x_\alpha := \alpha \Delta x$, with finite volume cells $I_j := [x_{j-\frac{1}{2}}, x_{j+\frac{1}{2}}]$ of length
 77 Δx and denote by $\bar{\mathbf{U}}_j(t)$ the cell averages of the solution $\mathbf{U} := (w, hu)^T$ of (1.1) computed at
 78 time t :

$$79 \quad \bar{\mathbf{U}}_j(t) \approx \frac{1}{\Delta x} \int_{I_j} \mathbf{U}(x, t) dx. \quad (2.1)$$

80 We then replace the bottom function B with its continuous, piecewise linear approximation
 81 \tilde{B} . To this end, we first define

$$82 \quad B_{j+\frac{1}{2}} := \frac{B(x_{j+\frac{1}{2}}+0) + B(x_{j+\frac{1}{2}}-0)}{2}, \quad (2.2)$$

83 which in case of a continuous function B reduces to $B_{j+\frac{1}{2}} = B(x_{j+\frac{1}{2}})$, and then interpolate

84 between these points to obtain

$$85 \quad \tilde{B}(x) = B_{j-\frac{1}{2}} + \left(B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}} \right) \cdot \frac{x - x_{j-\frac{1}{2}}}{\Delta x}, \quad x_{j-\frac{1}{2}} \leq x \leq x_{j+\frac{1}{2}}. \quad (2.3)$$

86 From (2.3), we obviously have

$$87 \quad B_j := \tilde{B}(x_j) = \frac{1}{\Delta x} \int_{I_j} \tilde{B}(x) dx = \frac{B_{j+\frac{1}{2}} + B_{j-\frac{1}{2}}}{2}. \quad (2.4)$$

88 The central-upwind semi-discretization of (1.1) can be written as the following system of
89 time-dependent ODEs:

$$90 \quad \frac{d}{dt} \bar{\mathbf{U}}_j(t) = - \frac{\mathbf{H}_{j+\frac{1}{2}}(t) - \mathbf{H}_{j-\frac{1}{2}}(t)}{\Delta x} + \bar{\mathbf{S}}_j(t), \quad (2.5)$$

91 where $\mathbf{H}_{j+\frac{1}{2}}$ are the central-upwind numerical fluxes and $\bar{\mathbf{S}}_j$ is an appropriate discretization
92 of the cell averages of the source term:

$$93 \quad \bar{\mathbf{S}}_j(t) \approx \frac{1}{\Delta x} \int_{I_j} \mathbf{S}(\mathbf{U}(x, t), B(x)) dx, \quad \mathbf{S} := (0, -ghB_x)^T. \quad (2.6)$$

94 Using the definitions (2.2) and (2.4), we write the second component of the discretized source
95 term (2.6) as (see [11] and [12] for details)

$$96 \quad \bar{\mathbf{S}}_j^{(2)}(t) := -gh_j \frac{B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}}}{\Delta x}. \quad (2.7)$$

97 The central-upwind numerical fluxes $\mathbf{H}_{j+\frac{1}{2}}$ are given by:

$$98 \quad \mathbf{H}_{j+\frac{1}{2}}(t) = \frac{a_{j+\frac{1}{2}}^+ \mathbf{F}(\mathbf{U}_{j+\frac{1}{2}}^-, B_{j+\frac{1}{2}}) - a_{j+\frac{1}{2}}^- \mathbf{F}(\mathbf{U}_{j+\frac{1}{2}}^+, B_{j+\frac{1}{2}})}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \\ 99 \quad + \frac{a_{j+\frac{1}{2}}^+ a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \left[\mathbf{U}_{j+\frac{1}{2}}^+ - \mathbf{U}_{j+\frac{1}{2}}^- \right], \quad (2.8)$$

100 where we use the following flux notation:

$$101 \quad \mathbf{F}(\mathbf{U}, B) := \left(hu, \frac{(hu)^2}{w-B} + \frac{g}{2}(w-B)^2 \right)^T. \quad (2.9)$$

102 The values $\mathbf{U}_{j+\frac{1}{2}}^\pm = (w_{j+\frac{1}{2}}^\pm, h_{j+\frac{1}{2}}^\pm \cdot u_{j+\frac{1}{2}}^\pm)$ represent the left and right values of the solution at
103 point $x_{j+\frac{1}{2}}$ obtained by a piecewise linear reconstruction

$$104 \quad \tilde{q}(x) := \bar{q}_j + (q_x)_j (x - x_j), \quad x_{j-\frac{1}{2}} < x < x_{j+\frac{1}{2}}, \quad (2.10)$$

of q standing for w and u respectively with $h_{j+\frac{1}{2}}^\pm = w_{j+\frac{1}{2}}^\pm - B_{j+\frac{1}{2}}$. To avoid the cancellation problem near dry areas, we define the average velocity by

$$\bar{u}_j := \begin{cases} (\overline{hu})_j / \bar{h}_j, & \text{if } \bar{h}_j \geq \epsilon, \\ 0, & \text{otherwise.} \end{cases}$$

105 We choose $\epsilon = 10^{-9}$ in all of our numerical experiments. This reconstruction will be second-
106 order accurate if the approximate values of the derivatives $(q_x)_j$ are at least first-order ap-
107 proximations of the corresponding exact derivatives. To ensure a non-oscillatory nature of
108 the reconstruction (2.10) and thus to avoid spurious oscillations in the numerical solution,
109 one has to evaluate $(q_x)_j$ using a nonlinear limiter. From the large selection of the limiters
110 readily available in the literature (see, e.g., [6, 10, 13, 16, 18, 25, 29]), we chose the generalized
111 minmod limiter ([16, 18, 25, 29]):

$$112 \quad (q_x)_j = \text{minmod} \left(\theta \frac{\bar{q}_j - \bar{q}_{j-1}}{\Delta x}, \frac{\bar{q}_{j+1} - \bar{q}_{j-1}}{2\Delta x}, \theta \frac{\bar{q}_{j+1} - \bar{q}_j}{\Delta x} \right), \quad \theta \in [1, 2], \quad (2.11)$$

113 where the minmod function, defined as

$$114 \quad \text{minmod}(z_1, z_2, \dots) := \begin{cases} \min_j \{z_j\}, & \text{if } z_j > 0 \quad \forall j, \\ \max_j \{z_j\}, & \text{if } z_j < 0 \quad \forall j, \\ 0, & \text{otherwise,} \end{cases} \quad (2.12)$$

115 is applied in a componentwise manner, and θ is a parameter affecting the numerical vis-
116 cosity of the scheme. It is shown in [12] that this procedure (as well as any alternative
117 “conventional” reconstruction, including the simplest first-order piecewise constant one, for
118 which $(w_x)_j \equiv \mathbf{0}$) might produce negative values $h_{j+\frac{1}{2}}^\pm$ near the dry areas (see [12]). There-
119 fore, the reconstruction (2.10)–(2.12) must be corrected there. The correction algorithm
120 used in [12] restores positivity of the reconstruction depicted in Figure 3.2, but destroys the
121 well-balancing property. This is explained in §3, where we propose an alternative positivity
122 preserving reconstruction, which is capable of exactly preserving the “lake at rest” and the
123 “dry lake” steady states as well as their combinations.

Finally, the local speeds $a_{j+\frac{1}{2}}^\pm$ in (2.8) are obtained using the eigenvalues of the Jacobian $\frac{\partial \mathbf{F}}{\partial \mathbf{U}}$ as follows:

$$a_{j+\frac{1}{2}}^+ = \max \left\{ u_{j+\frac{1}{2}}^+ + \sqrt{gh_{j+\frac{1}{2}}^+}, u_{j+\frac{1}{2}}^- + \sqrt{gh_{j+\frac{1}{2}}^-}, 0 \right\}, \quad (2.13)$$

$$a_{j+\frac{1}{2}}^- = \min \left\{ u_{j+\frac{1}{2}}^+ - \sqrt{gh_{j+\frac{1}{2}}^+}, u_{j+\frac{1}{2}}^- - \sqrt{gh_{j+\frac{1}{2}}^-}, 0 \right\}. \quad (2.14)$$

124 Note that for $\bar{\mathbf{U}}_j$, $\mathbf{U}_{j+\frac{1}{2}}^\pm$ and $a_{j+\frac{1}{2}}^\pm$, we dropped the dependence of t for simplicity.

125 As in [12], in our numerical experiments, we use the third-order strong stability preserving

126 Runge-Kutta (SSP-RK) ODE solver (see [7] for details) to numerically integrate the ODE
 127 system (2.5). The timestep is restricted by the standard CFL condition,

$$128 \quad \mathbf{CFL} := \frac{\Delta t}{\Delta x} \max_j |a_{j+\frac{1}{2}}^\pm| \leq \frac{1}{2} \quad (2.15)$$

129 For the examples of the present paper, results of the second and third order SSP-RK solvers
 130 are almost undistinguishable.

131 3. A New Reconstruction at the Almost Dry Cells

132 In the presence of dry areas, the central-upwind scheme described in the previous section
 133 may create negative water depth values at the reconstruction stage. To understand this, one
 134 may look at Figure 3.1, where we illustrate the following situation: The solution satisfies
 135 (1.2) for $x > x_w^*$ (where x_w^* marks the waterline) and (1.3) for $x < x_w^*$. Notice that cell j is
 136 a typical almost dry cell and the use of the (first-order) piecewise constant reconstruction
 137 clearly leads to appearance of negative water depth values there. Indeed, in this cell the total
 138 amount of water is positive and therefore $\bar{w}_j > B_j$, but clearly $\bar{w}_j < B_{j-\frac{1}{2}}$ and thus $h_{j-\frac{1}{2}} < 0$.

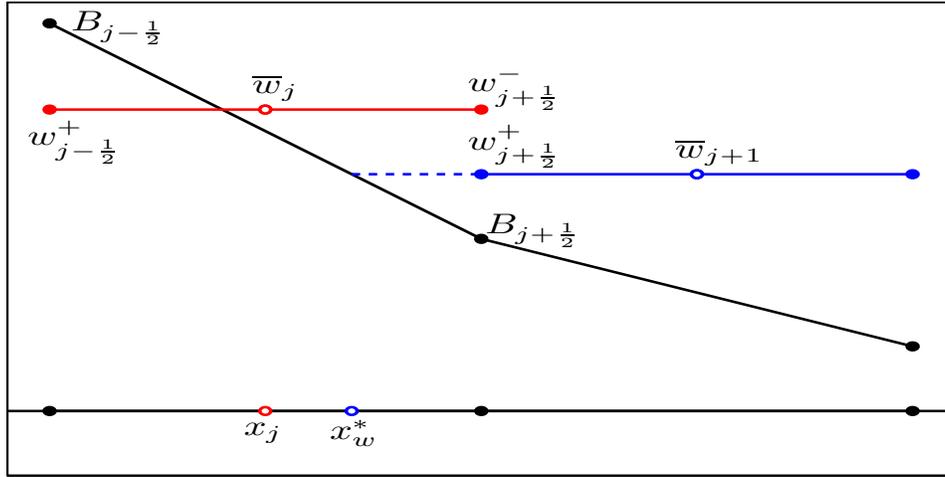


FIG. 3.1. Wrong approximations of the wet/dry front by the piecewise constant reconstruction.

139

140 It is clear that replacement of the first-order piecewise constant reconstruction with a
 141 conventional second-order piecewise linear one will not guarantee positivity of the computed
 142 point values of h . Therefore, the reconstruction in cell j may need to be corrected. The
 143 correction proposed in [12] will solve the positivity problem by raising the water level at one
 144 of the cell edges to the level of the bottom function there and lowering the water level at the
 145 other edge by the same value (this procedure would thus preserve the amount of water in cell
 146 j). The resulting linear piece is shown in Figure 3.2. Unfortunately, as one may clearly see
 147 in the same figure, the obtained reconstruction is not well-balanced since the reconstructed

values $w_{j+\frac{1}{2}}^-$ and $w_{j+\frac{1}{2}}^+$ are not the same.

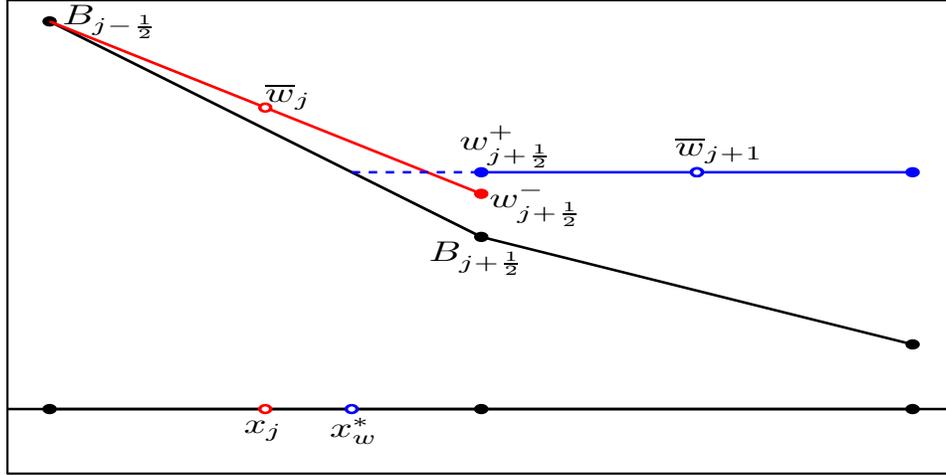


FIG. 3.2. Approximations of the wet/dry front by the positivity preserving but unbalanced piecewise linear reconstruction from [12].

148

149

150

151

152

153

154

155

156

Here, we propose an alternative correction procedure, which will be both positivity preserving and well-balanced even in the presence of dry areas. This correction bears some similarity to the reconstruction near dry fronts of depth-averaged granular avalanche models in [28]. However, in [28] the authors tracked a front running down the terrain, and did not treat well-balancing of equilibrium states. Let us assume that at a certain time level all computed values $\bar{w}_j \geq B_j$ and the slopes $(w_x)_j$ and $(u_x)_j$ in the piecewise linear reconstruction (2.10) have been computed using some nonlinear limiter as it was discussed in §2 above. We also assume that at some almost dry cell j ,

157

$$B_{j-\frac{1}{2}} > \bar{w}_j > B_{j+\frac{1}{2}} \tag{3.1}$$

158

159

(the case $B_{j-\frac{1}{2}} < \bar{w}_j < B_{j+\frac{1}{2}}$ can obviously be treated in a symmetric way) and that the reconstructed values of w in cell $j+1$ satisfy

160

$$w_{j+\frac{1}{2}}^+ > B_{j+\frac{1}{2}} \quad \text{and} \quad w_{j+\frac{3}{2}}^- > B_{j+\frac{3}{2}}, \tag{3.2}$$

161

162

163

that is, cell $j+1$ is fully flooded. This means that cell j is located near the dry boundary (mounting shore), and we design a well-balanced reconstruction correction procedure for cell j in the following way:

164

165

166

167

168

We begin by computing the free surface in cell j (denoted by w_j), which represents the average total water level in (*the flooded parts of*) this cell assuming that the water is at rest. The meaning of this formulation becomes clear from Figure 3.3. We always choose w_j such that the area enclosed between the line with height w_j and the bottom line equals the amount of water given by $\Delta x \cdot \bar{h}_j$, where $\bar{h}_j := \bar{w}_j - B_j$. The resulting area is either a

169 trapezoid (if cell j is a fully flooded cell as in Figure 3.3 on the left) or a triangle (if cell j is
 170 a partially flooded cell as in Figure 3.3 on the right), depending on \bar{h}_j and the bottom slope
 (B_x) $_j$.

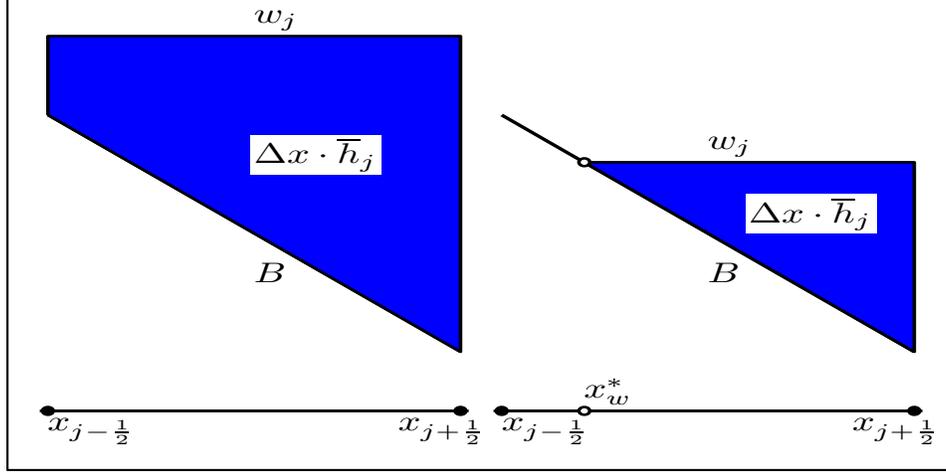


FIG. 3.3. Computation of w_j . Left: Fully flooded cell; Right: Partially flooded cell.

171

172 So if the cell j is a fully flooded cell, i.e. $\bar{h}_j \geq \frac{\Delta x}{2} |(B_x)_j|$, the free surface $w_j(x)$ is defined
 173 as

174

$$w_j(x) = \bar{w}_j,$$

175 otherwise the free surface is a continuous piecewise linear function given by

$$176 \quad w_j(x) = \begin{cases} B_j(x), & \text{if } x < x_w^*, \\ w_j, & \text{otherwise,} \end{cases} \quad (3.3)$$

177 where x_w^* is the boundary point separating the dry and wet parts in the cell j . It can be
 178 determined by the mass conservation,

$$179 \quad \begin{aligned} \Delta x \cdot \bar{h}_j &= \int_{x_{j-\frac{1}{2}}}^{x_{j+\frac{1}{2}}} (w_j(x) - B_j(x)) dx = \int_{x_w^*}^{x_{j+\frac{1}{2}}} (w_j - B_j(x)) dx \\ 180 \quad &= \frac{\Delta x_w^*}{2} (w_j - B_{j+\frac{1}{2}}) = \frac{\Delta x_w^*}{2} (B(x_w^*) - B_{j+\frac{1}{2}}) = -\frac{(\Delta x_w^*)^2}{2} (B_x)_j, \end{aligned}$$

181 where $\Delta x_w^* = x_{j+\frac{1}{2}} - x_w^*$, thus

$$182 \quad \Delta x_w^* = \sqrt{\frac{2\Delta x \bar{h}_j}{-(B_x)_j}} = \sqrt{\frac{2\bar{h}_j}{B_{j-\frac{1}{2}} - B_{j+\frac{1}{2}}}} \Delta x, \quad (3.4)$$

183 resulting in the free surface w_j formula for the wet/dry cells,

$$184 \quad w_j = B_{j+\frac{1}{2}} + \sqrt{2\bar{h}_j |B_{j-\frac{1}{2}} - B_{j+\frac{1}{2}}|} \quad (3.5)$$

185 Note that the limit for the distinction of cases in (3.3) is determined from the area of the
186 triangle between the bottom line and the horizontal line at the level of $B_{j-\frac{1}{2}}$. We also note
187 that if cell j satisfies (3.1), then it is clearly a partially flooded cell (like the one shown in
188 Figure 3.3 on the right) with $\Delta x_w^* < \Delta x$.

189 **REMARK 3.1.** *We would like to emphasize that if cell j is fully flooded, then the free surface is*
190 *represented by the cell average \bar{w}_j (see the first case in equation (3.3)), while if the cell is only*
191 *partially flooded, \bar{w}_j does not represent the free surface at all (see, e.g., Figure 3.1). Thus, in*
192 *the latter case we need to represent the free surface with the help of another variable $w_j \neq \bar{w}_j$*
193 *(see the second case in (3.3)), which is only defined on the wet part of cell j , $[x_w^*, x_{j+\frac{1}{2}}]$, and*
194 *thus stays above the bottom function B , see Figure 3.3 (right).*

195 We now modify the reconstruction of h in the partially flooded cell j to ensure the well-
196 balanced property. To this end, we first set $w_{j+\frac{1}{2}}^- = w_{j+\frac{1}{2}}^+$ (which immediately implies that
197 $h_{j+\frac{1}{2}}^- := w_{j+\frac{1}{2}}^- - B_{j+\frac{1}{2}} = w_{j+\frac{1}{2}}^+ - B_{j+\frac{1}{2}} =: h_{j+\frac{1}{2}}^+$) and determine the reconstruction of w in cell j
198 via the conservation of \bar{h}_j in this cell. We distinguish between the following two possible
199 cases. If the amount of water in cell j is sufficiently large (as in the case illustrated in Figure
200 3.4 on the left), there is a unique $h_{j-\frac{1}{2}}^+ \geq 0$ satisfying

$$201 \quad \bar{h}_j = \frac{1}{2}(h_{j+\frac{1}{2}}^- + h_{j-\frac{1}{2}}^+). \quad (3.6)$$

202 From this we obtain $w_{j-\frac{1}{2}}^+ = h_{j-\frac{1}{2}}^+ + B_{j-\frac{1}{2}}$, and thus the well-balanced reconstruction in cell j
203 is completed.

204 If the value of $h_{j-\frac{1}{2}}^+$, computed from the conservation requirement (3.6) is negative, we
205 replace a linear piece of w in cell j with two linear pieces as shown in Figure 3.4 on the right.
206 The breaking point between the “wet” and “dry” pieces will be denoted by x_j^* and it will be
207 determined from the conservation requirement, which in this case reads

$$208 \quad \Delta x \cdot \bar{h}_j = \frac{\Delta x_j^*}{2} h_{j+\frac{1}{2}}^-, \quad (3.7)$$

where

$$\Delta x_j^* = |x_{j+\frac{1}{2}} - x_j^*|.$$

209 Combining the above two cases, we obtain the reconstructed value

$$210 \quad h_{j-\frac{1}{2}}^+ = \max \left\{ 0, 2\bar{h}_j - h_{j+\frac{1}{2}}^- \right\}. \quad (3.8)$$

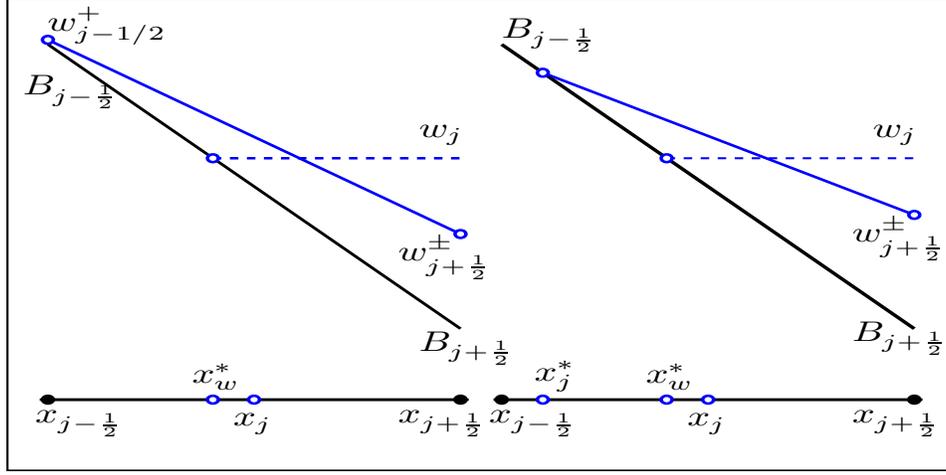


FIG. 3.4. Conservative reconstruction of w at the boundary with the fixed value $w_{j+1/2}^+$. Left: Linear reconstruction with nonnegative $h_{j-1/2}^+$; Right: Two linear pieces with $h_{j-1/2}^+ = 0$.

211 We also generalize the definition of Δx_j^* and set

$$212 \quad \Delta x_j^* := \Delta x \cdot \min \left\{ \frac{2\bar{h}_j}{h_{j+1/2}^-}, 1 \right\}, \quad (3.9)$$

213 which will be used in the proofs of the positivity and well-balancing of the resulting central-
214 upwind scheme in §4. We summarize the wet/dry reconstruction in the following definition:

215 **DEFINITION 3.2.** (*wet/dry reconstruction*) For the sake of clarity, we denote the left and
216 right values of the piecewise linear reconstruction (2.10) – (2.12) by $\tilde{\mathbf{U}}_{j+1/2}^\pm = (\tilde{w}_{j+1/2}^\pm, \tilde{h}_{j+1/2}^\pm \cdot$
217 $\tilde{u}_{j+1/2}^\pm)$. The purpose of this definition is to define the final values $\mathbf{U}_{j+1/2}^\pm = (w_{j+1/2}^\pm, h_{j+1/2}^\pm \cdot u_{j+1/2}^\pm)$,
218 which are modified by the wet/dry reconstruction.

219 **Case 1.** $\bar{w}_j \geq B_{j-1/2}$ and $\bar{w}_j \geq B_{j+1/2}$: there is enough water to flood the cell for flat lake.

220 **1A.** $\tilde{w}_{j-1/2}^+ \geq B_{j-1/2}$ and $\tilde{w}_{j+1/2}^- \geq B_{j+1/2}$: the cell is fully flooded, and we set $\mathbf{U}_{j+1/2}^\pm :=$
221 $\tilde{\mathbf{U}}_{j+1/2}^\pm$.

222 **1B.** otherwise, as in [12] we redistribute the water via

$$223 \quad \text{If } \tilde{w}_{j+1/2}^- < B_{j+1/2}, \text{ then set } (w_x)_j := \frac{B_{j+1/2} - \bar{w}_j}{\Delta x/2},$$

$$224 \quad \implies w_{j+1/2}^- = B_{j+1/2}, \quad w_{j-1/2}^+ = 2\bar{w}_j - B_{j+1/2};$$

225 and

$$226 \quad \text{If } \tilde{w}_{j-1/2}^+ < B_{j-1/2}, \text{ then set } (w_x)_j := \frac{\bar{w}_j - B_{j-1/2}}{\Delta x/2},$$

$$227 \quad \implies w_{j+1/2}^- = 2\bar{w}_j - B_{j-1/2}, \quad w_{j-1/2}^+ = B_{j-1/2}.$$

Case 2. $B_{j-\frac{1}{2}} > \bar{w}_j > B_{j+\frac{1}{2}}$: the cell is possible partially flooded.

2A. $\tilde{w}_{j+\frac{1}{2}}^+ > B_{j+\frac{1}{2}}$ and $\tilde{w}_{j+\frac{3}{2}}^- > B_{j+\frac{3}{2}}$, i.e., cell $j+1$ is fully flooded and $w_{j+\frac{1}{2}}^+ = \tilde{w}_{j+\frac{1}{2}}^+$.

Define $w_{j+\frac{1}{2}}^- = w_{j+\frac{1}{2}}^+$ and $h_{j+\frac{1}{2}}^- = w_{j+\frac{1}{2}}^- - B_{j+\frac{1}{2}}$.

2A1. $2\bar{h}_j - h_{j+\frac{1}{2}}^- \geq 0$, the amount of water in cell j is sufficiently large, we set

$$h_{j-\frac{1}{2}}^+ = 2\bar{h}_j - h_{j+\frac{1}{2}}^-, \text{ so } w_{j-\frac{1}{2}}^+ = h_{j-\frac{1}{2}}^+ + B_{j-\frac{1}{2}}$$

2A2. otherwise set $h_{j-\frac{1}{2}}^+ = 0, w_{j-\frac{1}{2}}^+ = B_{j-\frac{1}{2}}$ and Δx_j^* as in (3.9).

2B. otherwise set $h_{j+\frac{1}{2}}^- := w_j - B_{j+\frac{1}{2}}$ (3.5) and $\Delta x_j^* := \Delta x_w^*$ (3.4). Note that this situation is not generic and may occur only in the under-resolved computations.

Case 3. $B_{j-\frac{1}{2}} < \bar{w}_j < B_{j+\frac{1}{2}}$: analogous to **Case 2**.

4. Positivity Preserving and Well-Balancing

In the previous section, we proposed a new spatial reconstruction for wet/dry cell. In this section, we will implement a time-quadrature for the fluxes at wet/dry boundaries developed in [2]. It cuts off the space-time flux integrals for partially flooded interfaces. Then we prove that the resulting central-upwind scheme is positivity preserving and well-balanced under the standard CFL condition (2.15).

We begin by studying the positivity using a standard time integration of the fluxes. The following lemma shows that for explicit Euler time stepping, positivity cannot be guaranteed directly under a CFL condition such as (2.15).

LEMMA 4.1. (2.5)–(2.14) with the piecewise linear reconstruction (2.10) corrected according to the procedure described in §3. Assume that the system of ODEs (2.5) is solved by the forward Euler method and that for all j , $\bar{h}_j^n \geq 0$. Then

(i) $\bar{h}_j^{n+1} \geq 0$ for all j provided that

$$\Delta t \leq \min_j \left\{ \frac{\Delta x_j^*}{2a_j} \right\}, \quad a_j := \max\{a_{j+\frac{1}{2}}^+, -a_{j+\frac{1}{2}}^-\}. \quad (4.1)$$

(ii) Condition (4.1) cannot be guaranteed by any finite positive CFL condition (2.15).

Proof: (i) For the fully flooded cells with $\Delta x_j^* = \Delta x$, the proof of Theorem 2.1 in [12] still holds. Therefore, we will only consider partially flooded cells like the one shown in Figure 3.4. First, from (3.7) we have that in such a cell j the cell average of the water depth at time level $t = t^n$ is

$$\bar{h}_j^n = \frac{\Delta x_j^*}{2\Delta x} h_{j+\frac{1}{2}}^-, \quad (4.2)$$

and it is evolved to the next time level by applying the forward Euler temporal discretization to the first component of (2.5), which after the subtraction of the value B_j from both sides

259 can be written as

$$260 \quad \bar{h}_j^{n+1} = \bar{h}_j^n - \lambda \left(\mathbf{H}_{j+\frac{1}{2}}^{(1)} - \mathbf{H}_{j-\frac{1}{2}}^{(1)} \right), \quad \lambda := \frac{\Delta t}{\Delta x}, \quad (4.3)$$

261 where the numerical fluxes are evaluated at time level $t = t^n$. Using (2.8) and the fact that
 262 by construction $w_{j+\frac{1}{2}}^+ - w_{j+\frac{1}{2}}^- = h_{j+\frac{1}{2}}^+ - h_{j+\frac{1}{2}}^-$, we obtain:

$$263 \quad \mathbf{H}_{j+\frac{1}{2}}^{(1)} = \frac{a_{j+\frac{1}{2}}^+ (hu)_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^- (hu)_{j+\frac{1}{2}}^+}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} + \frac{a_{j+\frac{1}{2}}^+ a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \left[h_{j+\frac{1}{2}}^+ - h_{j+\frac{1}{2}}^- \right]. \quad (4.4)$$

264 Substituting (4.2) and (4.4) into (4.3) and taking into account the fact that in this cell
 265 $h_{j-\frac{1}{2}}^+ = 0$, we arrive at:

$$266 \quad \bar{h}_j^{n+1} = \left[\frac{\Delta x_j^*}{2\Delta x} - \lambda a_{j+\frac{1}{2}}^+ \left(\frac{u_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \right) \right] h_{j+\frac{1}{2}}^- \\
 267 \quad - \lambda a_{j+\frac{1}{2}}^- \left(\frac{a_{j+\frac{1}{2}}^+ - u_{j+\frac{1}{2}}^+}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \right) h_{j+\frac{1}{2}}^+ + \lambda a_{j-\frac{1}{2}}^+ \left(\frac{u_{j-\frac{1}{2}}^- - a_{j-\frac{1}{2}}^-}{a_{j-\frac{1}{2}}^+ - a_{j-\frac{1}{2}}^-} \right) h_{j-\frac{1}{2}}^-, \quad (4.5)$$

268 Next, we argue as in [12, Theorem 2.1] and show that \bar{h}_j^{n+1} is a linear combination of
 269 the three values, $h_{j+\frac{1}{2}}^\pm$ and $h_{j-\frac{1}{2}}^-$ (which are guaranteed to be nonnegative by our special
 270 reconstruction procedure) with nonnegative coefficients. To this end, we note that it follows
 271 from (2.13) and (2.14) that $a_{j+\frac{1}{2}}^+ \geq 0$, $a_{j+\frac{1}{2}}^- \leq 0$, $a_{j+\frac{1}{2}}^+ - u_{j+\frac{1}{2}}^+ \geq 0$, and $u_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^- \geq 0$, and
 272 hence the last two terms in (4.5) are nonnegative. By the same argument, $0 \leq \frac{a_{j-\frac{1}{2}}^+ - u_{j-\frac{1}{2}}^+}{a_{j-\frac{1}{2}}^+ - a_{j-\frac{1}{2}}^-} \leq 1$
 273 and $0 \leq \frac{u_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \leq 1$, and thus the first term in (4.5) will be also nonnegative, provided the

274 CFL restriction (4.1) is satisfied. Therefore, $\bar{h}_j^{n+1} \geq 0$, and part (i) is proved.

In order to show part (ii) of the lemma, we compare the CFL-like condition (4.1) with the standard CFL condition (2.15),

$$\mathbf{CFL}^* := \Delta t \max_j \left(\frac{|a_j|}{\Delta x_j^*} \right) = \max_j \left(\frac{|a_j|}{\max_i |a_i|} \frac{\Delta x}{\Delta x_j^*} \right) \mathbf{CFL} \quad (4.6)$$

275 We note that depending on the water level w_j in the partially flooded cell, Δx_j^* can be
 276 arbitrarily small, so there is no upper bound of \mathbf{CFL}^* in terms of \mathbf{CFL} . \square

277 Part (ii) of Lemma 4.1 reveals that one might obtain a serious restriction of the timestep
 278 in the presence of partially flooded cells. We will now show how to overcome this restriction
 279 using the draining time technique developed in [2].

We start from the equation (4.3) for the water height and look for a suitable modification

of the update such that the water height remains positive,

$$\bar{h}_j^{n+1} = \bar{h}_j^n - \Delta t \frac{\mathbf{H}_{j+\frac{1}{2}}^{(1)} - \mathbf{H}_{j-\frac{1}{2}}^{(1)}}{\Delta x} \geq 0.$$

280 As in [2], we introduce the *draining time step*

$$281 \Delta t_j^{\text{drain}} := \frac{\Delta x \bar{h}_j^n}{\max(0, \mathbf{H}_{j+\frac{1}{2}}^{(1)}) + \max(0, -\mathbf{H}_{j-\frac{1}{2}}^{(1)})}, \quad (4.7)$$

282 which describes the time when the water contained in cell j in the beginning of the time
283 step has left via the outflow fluxes. We now replace the evolution step (4.3) with

$$284 \bar{h}_j^{n+1} = \bar{h}_j^n - \frac{\Delta t_{j+\frac{1}{2}} \mathbf{H}_{j+\frac{1}{2}}^{(1)} - \Delta t_{j-\frac{1}{2}} \mathbf{H}_{j-\frac{1}{2}}^{(1)}}{\Delta x}, \quad (4.8)$$

285 where we set the effective time step on the cell interface as

$$286 \Delta t_{j+\frac{1}{2}} = \min(\Delta t, \Delta t_i^{\text{drain}}), \quad i = j + \frac{1}{2} - \frac{\text{sgn}(\mathbf{H}_{j+\frac{1}{2}}^{(1)})}{2}. \quad (4.9)$$

287 The definition of i selects the cell in upwind direction of the edge. We would like to point
288 out that the modification of flux is only active in cells which are at risk of running empty
289 during the next time step. It corresponds to the simple fact that there is no flux out of a
290 cell once the cell is empty. The positivity based on the draining time is summarized as the
291 following theorem, which we proved in [2]. Note that in contrast to Lemma 4.1, the timestep
292 is now uniform under the CFL condition (2.15):

293 **THEOREM 4.1.** *Consider the update (4.8) of the water height with fluxes with the help of*
294 *the draining time (4.7). Assume that the initial height \bar{h}_j^n is non-negative for all j . Then*
295 *the height remains nonnegative,*

$$296 \bar{h}_j^{n+1} \geq 0 \quad \text{for all } j. \quad (4.10)$$

297 *provided that the standard CFL condition (2.15) is satisfied.*

To guarantee well-balancing, we have to make sure that the gravity driven part of the
momentum flux $\mathbf{H}_{j+\frac{1}{2}}^{(2)}$ cancels the source term $\mathbf{S}_{j+\frac{1}{2}}^{(2)}$, in a lake at rest situation. To this end,
we follow [2] and split the momentum flux $\mathbf{F}^{(2)}(\mathbf{U})$ in its advective and gravity driven parts:

$$\mathbf{F}^{(2),a}(\mathbf{U}) := \frac{(hu)^2}{w-B} \quad \text{and} \quad \mathbf{F}^{(2),g}(\mathbf{U}) := \frac{g}{2}(w-B)^2,$$

respectively. For convenience, we will denote $w - B$ by h in the following. The corresponding

advective and gravity driven parts of the central-upwind fluxes then read

$$\mathbf{H}_{j+\frac{1}{2}}^{(2),g}(t) = \frac{a_{j+\frac{1}{2}}^+ \mathbf{F}^{(2),g}(\mathbf{U}_{j+\frac{1}{2}}^-) - a_{j+\frac{1}{2}}^- \mathbf{F}^{(2),g}(\mathbf{U}_{j+\frac{1}{2}}^+)}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} + \frac{a_{j+\frac{1}{2}}^+ a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \left[\mathbf{U}_{j+\frac{1}{2}}^{(2),+} - \mathbf{U}_{j+\frac{1}{2}}^{(2),-} \right],$$

and

$$\mathbf{H}_{j+\frac{1}{2}}^{(2),a}(t) = \frac{a_{j+\frac{1}{2}}^+ \mathbf{F}^{(2),a}(\mathbf{U}_{j+\frac{1}{2}}^-) - a_{j+\frac{1}{2}}^- \mathbf{F}^{(2),a}(\mathbf{U}_{j+\frac{1}{2}}^+)}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-},$$

298 The above fluxes adds up to the following modified update of the momentum:

$$299 \quad (\overline{hu})_j^{n+1} = (\overline{hu})_j^n - \frac{\Delta t_{j+\frac{1}{2}} \mathbf{H}_{j+\frac{1}{2}}^{(2),a} - \Delta t_{j-\frac{1}{2}} \mathbf{H}_{j-\frac{1}{2}}^{(2),a}}{\Delta x} - \Delta t \left(\frac{\mathbf{H}_{j+\frac{1}{2}}^{(2),g} - \mathbf{H}_{j-\frac{1}{2}}^{(2),g}}{\Delta x} + \overline{\mathbf{S}}_j^{(2),n} \right). \quad (4.11)$$

300 This modified finite volume scheme (4.8) and (4.11) ensures the well-balancing property
301 even in the presence of dry areas, as we will show in Theorem 4.2.

302 **THEOREM 4.2.** *Consider the system (1.1) and the fully discrete central-upwind scheme (4.8)*
303 *and (4.11). Assume that the numerical solution $\mathbf{U}(t^n)$ corresponds to the steady state which*
304 *is a combination of the “lake at rest” (1.2) and “dry lake” (1.3) states in the sense that for*
305 *all w_j defined in 3.3, $w_j = \text{Const}$ and $u = 0$ whenever $h_j > 0$. Then $\mathbf{U}(t^{n+1}) = \mathbf{U}(t^n)$, that is,*
306 *the scheme is well-balanced.*

307 **Proof:** We have to show that in all cells the fluxes and the source term discretization
308 cancel exactly. First, we mention the fact that the reconstruction procedure derived in §3
309 preserves both the “lake at rest” and “dry lake” steady states and their combinations. For
310 all cells where the original reconstruction is not corrected, the resulting slopes are obviously
311 zero and therefore $w_{j\pm\frac{1}{2}}^\mp = w_j$ there. As $hu = 0$ in all cells, the reconstruction for hu obviously
312 reproduces the constant point values $(hu)_{j\pm\frac{1}{2}}^\mp = 0, \forall j$, resulting that the draining time is equal
313 to the global time step, i.e., $\Delta t_j^{\text{drain}} = \Delta t$.

We first analyze the update of the free surface using (4.8). The first component of flux
(2.8) is

$$\mathbf{H}_{j+\frac{1}{2}}^{(1)} = \frac{a_{j+\frac{1}{2}}^+ (hu)_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^- (hu)_{j+\frac{1}{2}}^+}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} + \frac{a_{j+\frac{1}{2}}^+ a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \left[(h+B)_{j+\frac{1}{2}}^+ - (h+B)_{j+\frac{1}{2}}^- \right] = 0,$$

as $B_{j+\frac{1}{2}}^+ = B_{j+\frac{1}{2}}^-$, $h_{j+\frac{1}{2}}^+ = h_{j+\frac{1}{2}}^-$ and $(hu)_{j+\frac{1}{2}}^+ = (hu)_{j+\frac{1}{2}}^- = 0$. This gives

$$\overline{w}_j^{n+1} = \overline{h}_j^{n+1} + B_j = \overline{h}_j^n + B_j = \overline{w}_j^n$$

314 Secondly, we analyze the update of the momentum using (4.11). Using the same argument

315 and setting $u_{j+\frac{1}{2}}^\pm = 0$ at the points $x = x_{j+\frac{1}{2}}$ where $h_{j+\frac{1}{2}}^+ = h_{j+\frac{1}{2}}^- = 0$, for the second component
 316 we obtain

$$\begin{aligned}
 317 \quad \mathbf{H}_{j+\frac{1}{2}}^{(2),a} + \mathbf{H}_{j+\frac{1}{2}}^{(2),g} &= \frac{a_{j+\frac{1}{2}}^+ (hu^2)_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^- (hu^2)_{j+\frac{1}{2}}^+}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} + \frac{a_{j+\frac{1}{2}}^+ \left(\frac{g}{2}h^2\right)_{j+\frac{1}{2}}^- - a_{j+\frac{1}{2}}^- \left(\frac{g}{2}h^2\right)_{j+\frac{1}{2}}^+}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \\
 318 \quad &+ \frac{a_{j+\frac{1}{2}}^+ a_{j+\frac{1}{2}}^-}{a_{j+\frac{1}{2}}^+ - a_{j+\frac{1}{2}}^-} \left[(hu)_{j+\frac{1}{2}}^+ - (hu)_{j+\frac{1}{2}}^- \right] = \frac{g}{2} h_{j+\frac{1}{2}}^2,
 \end{aligned}$$

319 where $h_{j+\frac{1}{2}} := h_{j+\frac{1}{2}}^+ = h_{j+\frac{1}{2}}^-$. So, the finite volume update (4.11) for the studied steady state
 320 reads after substituting the source quadrature (2.7),

$$\begin{aligned}
 321 \quad (\overline{hu})_j^{n+1} &= (\overline{hu})_j^n - \frac{\Delta t}{\Delta x} \left[\frac{g}{2} (h_{j+\frac{1}{2}})^2 - \frac{g}{2} (h_{j-\frac{1}{2}})^2 \right] + \Delta t \overline{\mathbf{S}}_j^{(2),n} \\
 322 \quad &= (\overline{hu})_j^n - \frac{\Delta t}{\Delta x} \left[\frac{g}{2} (h_{j+\frac{1}{2}})^2 - \frac{g}{2} (h_{j-\frac{1}{2}})^2 \right] - \frac{\Delta t}{\Delta x} g \bar{h}_j (B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}}) \\
 323 \quad &= (\overline{hu})_j^n,
 \end{aligned}$$

324 where we have used

$$325 \quad \frac{(h_{j+\frac{1}{2}})^2 - (h_{j-\frac{1}{2}})^2}{2} = -\bar{h}_j^n (B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}}). \quad (4.12)$$

326 It remains to verify (4.12). In the fully flooded cells, where $w_j > B_{j\pm\frac{1}{2}}$, we have

$$\begin{aligned}
 327 \quad \frac{(h_{j+\frac{1}{2}})^2 - (h_{j-\frac{1}{2}})^2}{2} &= \frac{h_{j+\frac{1}{2}} + h_{j-\frac{1}{2}}}{2} (h_{j+\frac{1}{2}} - h_{j-\frac{1}{2}}) = \bar{h}_j^n (w_j - B_{j+\frac{1}{2}} - w_j + B_{j-\frac{1}{2}}) \\
 328 \quad &= -\bar{h}_j^n (B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}}),
 \end{aligned}$$

and thus (4.12) is satisfied. In the partially flooded cells (as the one shown in Figure 3.3 on
 the right), $w_j < B_{j-\frac{1}{2}}$, $h_{j-\frac{1}{2}} = 0$, and thus using (3.7) equation (4.12) reduces to

$$\frac{(h_{j+\frac{1}{2}})^2}{2} = -\frac{\Delta x_j^* h_{j+\frac{1}{2}}}{2\Delta x} (B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}}) = -\frac{h_{j+\frac{1}{2}}}{2} \Delta x_j^* (B_x)_j,$$

329 which is true since at the studied-steady situation, $x_j^* = x_w^*$, which implies that $\Delta x_j^* = \Delta x_w^*$,
 330 and hence, $-\Delta x_j^* (B_x)_j = h_{j+\frac{1}{2}}$.

331 This concludes the proof of the theorem. \square

332 **REMARK 4.2.** *The draining time Δt_j^{drain} equals the standard time step Δt in all cells except*
 333 *at the wet/dry boundary. Therefore, the update (4.8) equals the original update (2.5) almost*
 334 *everywhere.*

335 **REMARK 4.3.** *We would like to point out that the resulting scheme will clearly remain*
 336 *positivity preserving if the forward Euler method in the discretization of the ODE system*

(2.5) is replaced with a higher-order SSP ODE solver (either the Runge-Kutta or the multistep one), because such solvers can be written as a convex combination of several forward Euler steps, see [7]. In each Runge-Kutta stage, the time step Δt is chosen as the global time step at the first stage. This is because the draining time Δt_j^{drain} , which is a local cut-off to the numerical flux, does not reduce, or even influence, the global time step.

5. Numerical Experiments

Here, we set $\theta = 1.3$ in the minmod function (2.11), and in (2.15) we set $\mathbf{CFL} = 0.5$.

To show the effects of our new reconstruction at the boundary, we first test the numerical accuracy order using a continuous problem; then compare our new scheme with the scheme from [12] for the oscillating lake problem and the wave run-up problem on a slopping shore. These schemes only differ in the treatment of the dry boundary, so that the effects of the proposed modifications are highlighted. At last, we apply our scheme to dam-break problems over a plane and a triangular hump with bottom friction. For the sake of brevity, we refer to the scheme from [12] as KP and to our new scheme as BCKN.

Before the simulations, let us talk about the cell averages for the initial condition. Suppose that the states at cell interfaces $\mathbf{U}_{j-\frac{1}{2}}$ and $\mathbf{U}_{j+\frac{1}{2}}$ are given. The cell averages of momentums $(hu)_j$ are computed using the trapezoidal rule in the cells I_j as

$$(hu)_j = \frac{(hu)_{j-\frac{1}{2}} + (hu)_{j+\frac{1}{2}}}{2}.$$

As for the water height, we have to distinguish between three cases [21]. Cells I_j are called wet cells if the water heights at both cell interfaces are positive,

$$h_{j-\frac{1}{2}} > 0 \quad \text{and} \quad h_{j+\frac{1}{2}} > 0.$$

If instead,

$$h_{j-\frac{1}{2}} = 0, \quad h_{j+\frac{1}{2}} > 0 \quad \text{and} \quad B_{j-\frac{1}{2}} > B_{j+\frac{1}{2}},$$

we speak of cells with *upward slope*. If

$$h_{j-\frac{1}{2}} = 0, \quad h_{j+\frac{1}{2}} > 0 \quad \text{and} \quad B_{j-\frac{1}{2}} < B_{j+\frac{1}{2}},$$

we speak of *downward slope*. For the wet cells and cells with downward slope, the cell averages of water height h_j are computed using the trapezoidal rule in the cells I_j as

$$h_j = \frac{h_{j-\frac{1}{2}} + h_{j+\frac{1}{2}}}{2},$$

because it is impossible to be still water states. For the adverse slope, we use the inverse

366 function of (3.5),

$$367 \quad h_j = \frac{(h_{j+\frac{1}{2}})^2}{2(B_{j+\frac{1}{2}} - B_{j-\frac{1}{2}})},$$

368 to computed the cell average water height assuming the water is flat. It is easy to see from
 369 our new reconstruction (3.4), (3.5) and (3.9) can exactly reconstruct the initial still water
 370 states.

371 5.1. Numerical accuracy order

372 To compute the numerical order of accuracy of our scheme, we choose a continuous exam-
 373 ple from [12]. With computational domain $[0,1]$, the problem is subject to the gravitational
 374 constant $g=9.812$, the bottom topography

$$375 \quad B(x) = \sin^2(\pi x),$$

376 the initial data

$$377 \quad h(x,0) = 5 + e^{\cos(2\pi x)}, \quad hu(x,0) = \sin(\cos(2\pi x)),$$

and the periodic boundary conditions.

# points	h error	EOC	hu error	EOC
25	5.30e-2		2.33e-1	
50	1.51e-2	1.81	1.38e-1	0.76
100	4.86e-3	1.63	4.43e-2	1.64
200	1.40e-3	1.80	1.14e-2	1.95
400	3.59e-4	1.96	2.84e-3	2.01
800	8.93e-5	2.01	7.05e-4	2.01

TABLE 5.1. Accuracy checking: Experimental order of convergence(EOC) measured in the L^1 -norm.

378

379 The reference solution is computed on a grid with 12800 cells. The numerical result is
 380 shown in the Table 5.1 at time $t=0.1$. The result confirm that our scheme is second-order
 381 accurate.

382 5.2. Still and oscillating lakes

383 In this section, we consider present a test case proposed in [1]. It describes the situation
 384 where the “lake at rest” (1.2) and “dry lake” (1.3) are combined in the domain $[0,1]$ with
 385 the bottom topography given by

$$386 \quad B(x) = \frac{1}{4} - \frac{1}{4} \cos((2x-1)\pi), \quad (5.1)$$

and the following initial data:

$$h(x,0) = \max(0, 0.4 - B(x)), \quad u(x,0) \equiv 0. \quad (5.2)$$

We compute the numerical solution by the KP and BCKN schemes with 200 points at the final time $T = 19.87$. The results are shown in Figure 5.1 and Table 5.2. As one can clearly see there, the KP scheme introduces some oscillations at the boundary, whereas the BCKN scheme is perfectly well-balanced which means that our new initial data reconstruction method can exactly preserve the well-balanced property not only in the wet region but also in the dry region. And the influence on the solutions away from the wet/dry front is also visible because of oscillations at the boundary produced by the KP scheme.

scheme	L^∞ error of h	L^∞ error of hu
KP	7.88e-5	9.08e-5
BCKN	3.33e-16	5.43e-16

TABLE 5.2. Errors in the computation of the steady state (cf. Figure 5.1)

395

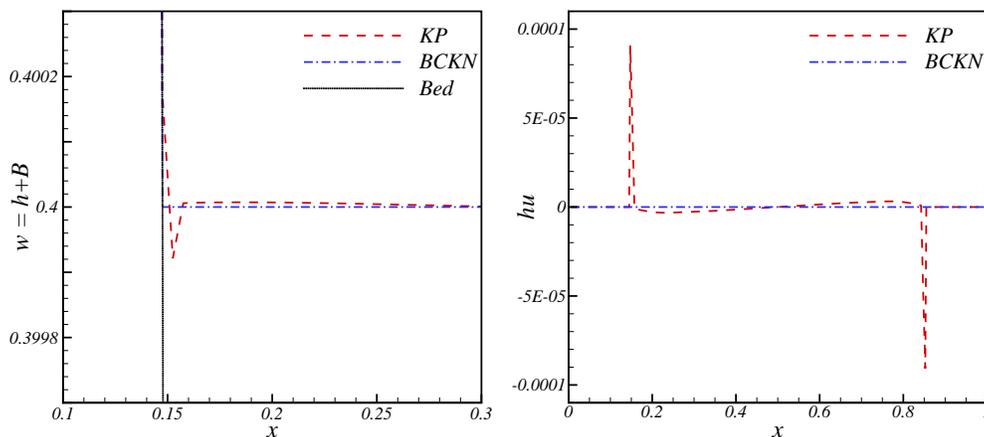


FIG. 5.1. Lake at rest. Left: free surface $h+B$; Right: Discharge hu (cf. Table 5.2).

We now consider a sinusoidal perturbation of the steady state (5.1), (5.2) by taking

$$h(x,0) = \max\left(0, 0.4 + \frac{\sin(4x - 2 - \max(0, -0.4 + B(x)))}{25} - B(x)\right).$$

As in [1], we set the final time to be $T = 19.87$. At this time, the wave has its maximal height at the left shore after some oscillations.

In Figure 5.2 we compare the results obtained by the BCKN and KP schemes with 200 points with a reference solution (computed using 12800 points). Table 5.3 shows the experimental accuracy order for the two different schemes. One can clearly see that both KP and BCKN scheme can produce good results and acceptable numerical order. In Figure 5.3

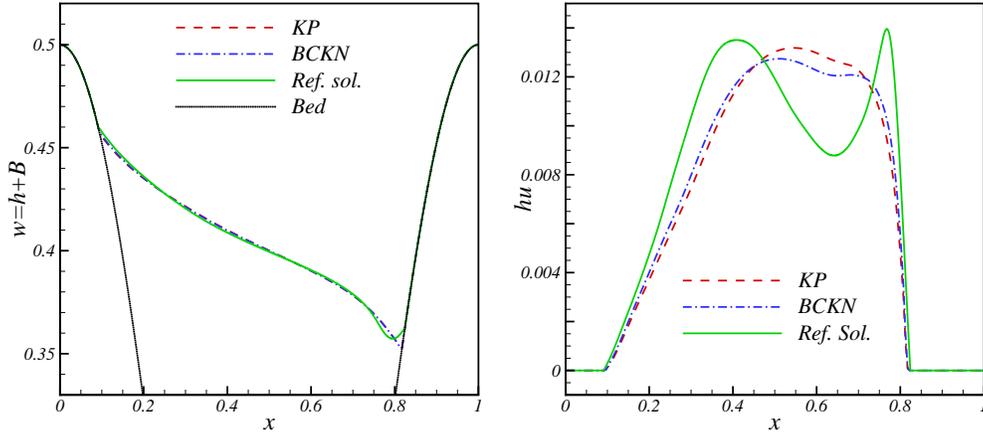


FIG. 5.2. Oscillating lake. Left: Free surface $h + B$; Right: Discharge hu . Comparison of KP and BCKN schemes with the reference solution.

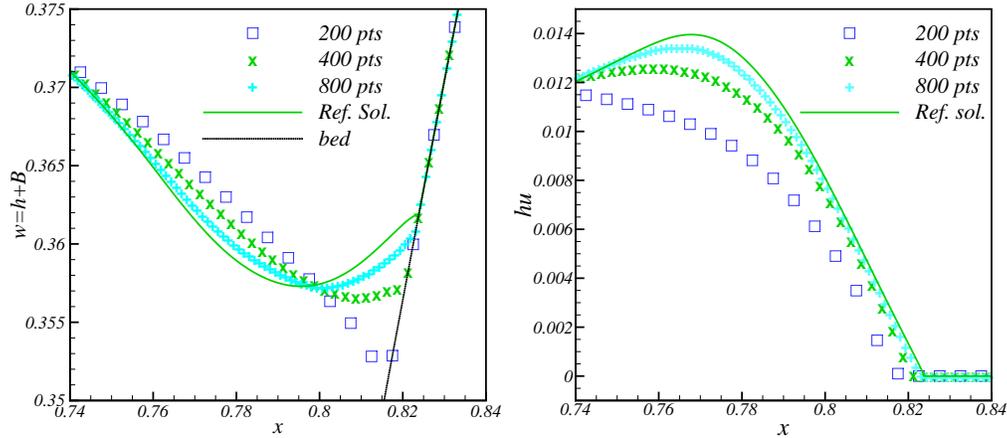


FIG. 5.3. Oscillating lake, zoom at the right wet/dry front. BCKN solutions with 200, 400, 800 points and reference solution (12800 points). Left: Free surface $h + B$; Right: Discharge hu .

402 we show a zoom of BCKN solutions for $x \in [0.74, 0.84]$ with 200, 400 and 800 points, which
 403 converge nicely to the reference solution. In particular, the discharge converges without any
 404 oscillations.

405 5.3. Wave run-up on a sloping shore

406 This test describes the run-up and reflection of a wave on a mounting slope. It was
 407 proposed in [27] and reference solutions can be found, for example, in [2, 21, 26].

The initial data are

$$H_0(x) = \max \{ D + \delta \operatorname{sech}^2(\gamma(x - x_a)), B(x) \}, \quad u_0(x) = \sqrt{\frac{g}{D}} H_0(x),$$

# points	h error	EOC	hu error	EOC
25	9.48e-3		1.47e-2	
50	2.81e-3	1.75	7.26e-3	1.02
100	1.65e-3	0.77	2.46e-3	1.56
200	7.88e-4	1.06	1.59e-3	0.63
400	3.33e-4	1.24	6.19e-4	1.36
800	1.26e-4	1.40	2.27e-4	1.45
KP scheme				
25	7.55e-3		1.31e-2	
50	2.27e-3	1.74	6.04e-3	1.11
100	1.45e-3	0.65	2.35e-3	1.36
200	6.77e-4	1.09	1.31e-3	0.84
400	2.71e-4	1.32	5.04e-4	1.38
800	1.04e-4	1.38	1.87e-4	1.43
BCKN scheme				

TABLE 5.3. Oscillating lake: Experimental order of convergence measured in the L^1 -norm.

and the bottom topography is

$$B(x) = \begin{cases} 0, & \text{if } x < 2x_a, \\ \frac{x - 2x_a}{19.85}, & \text{otherwise.} \end{cases}$$

As in [2, 21], we set

$$D = 1, \quad \delta = 0.019, \quad \gamma = \sqrt{\frac{3\delta}{4D}}, \quad x_a = \sqrt{\frac{4D}{3\delta}} \operatorname{arccosh}(\sqrt{20}).$$

408 The computational domain is $[0, 80]$ and the number of grid cells is 200.

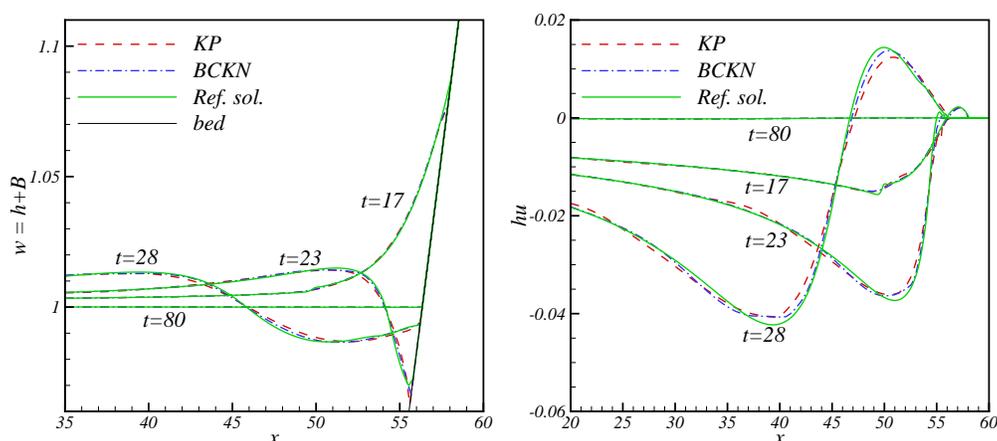


FIG. 5.4. Wave run-up on a sloping shore. KP, BCKN and reference solutions at times 17, 23, 28 and 80. Left: free surface $w = h + B$; Right: discharge hu .

Figure 5.4 shows the free surface and discharge computed by both BCNK and KP schemes

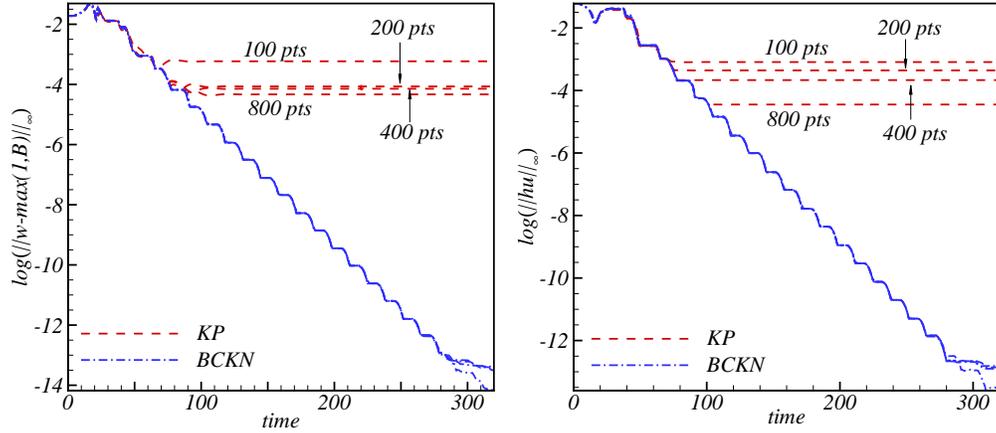


FIG. 5.5. Wave run-up on a sloping shore: deviation from stationary state. Left: free surface $\log(\|w - \max(1, B)\|_\infty)$; Right: discharge $\ln(\|hu\|_\infty)$. KP scheme (dashed) and BCKN scheme (dash-dot). Long time convergence of KP scheme stalls.

410 for different times. The reference solution is computed using 2000 points. A wave is running
 411 up the shore at time $t=17$, and running down at $t=23$. At time $t=80$ a steady state
 412 is reached. In the dynamic phase (up to time $t=28$), both schemes provide satisfactory
 413 solutions. In Figure 5.5 we study the long time decay towards equilibrium for different grid
 414 size resolutions. While the BCKN solutions decay up to machine accuracy, the long time
 415 convergence of the KP scheme comes to a halt. A brief check reveals that the deviation from
 416 equilibrium is roughly of the size of the truncation error of the KP scheme.

417 5.4. Dam-break over a plane

418 Here we study three dam breaks over inclined planes with various inclination angles.
 419 These test cases have been previously considered in [4, 32].

420 The domain is $[-15, 15]$, the bottom topography is given by

$$421 B(x) = -x \tan \alpha$$

422 where α is the inclination angle. The initial data are

$$423 u(x, 0) = 0, \quad h(x, 0) = \begin{cases} 1 - B(x), & x < 0, \\ 0, & \text{otherwise.} \end{cases}$$

424 At $x=15$ we impose a free flow boundary condition, and at $x=-15$ we set the discharge
 425 to zero. The plane is either flat ($\alpha=0$), inclined uphill ($\alpha=\pi/60$), or downhill ($\alpha=-\pi/60$).

426 We run the simulation until time $t=2$, with 200 uniform cells. The numerical results are
 427 displayed in Figure 5.6, for inclination angles $\alpha=0, \pi/60$ and $-\pi/60$, from top to bottom.
 428 The left column shows h and u , the central column the front position and the right column

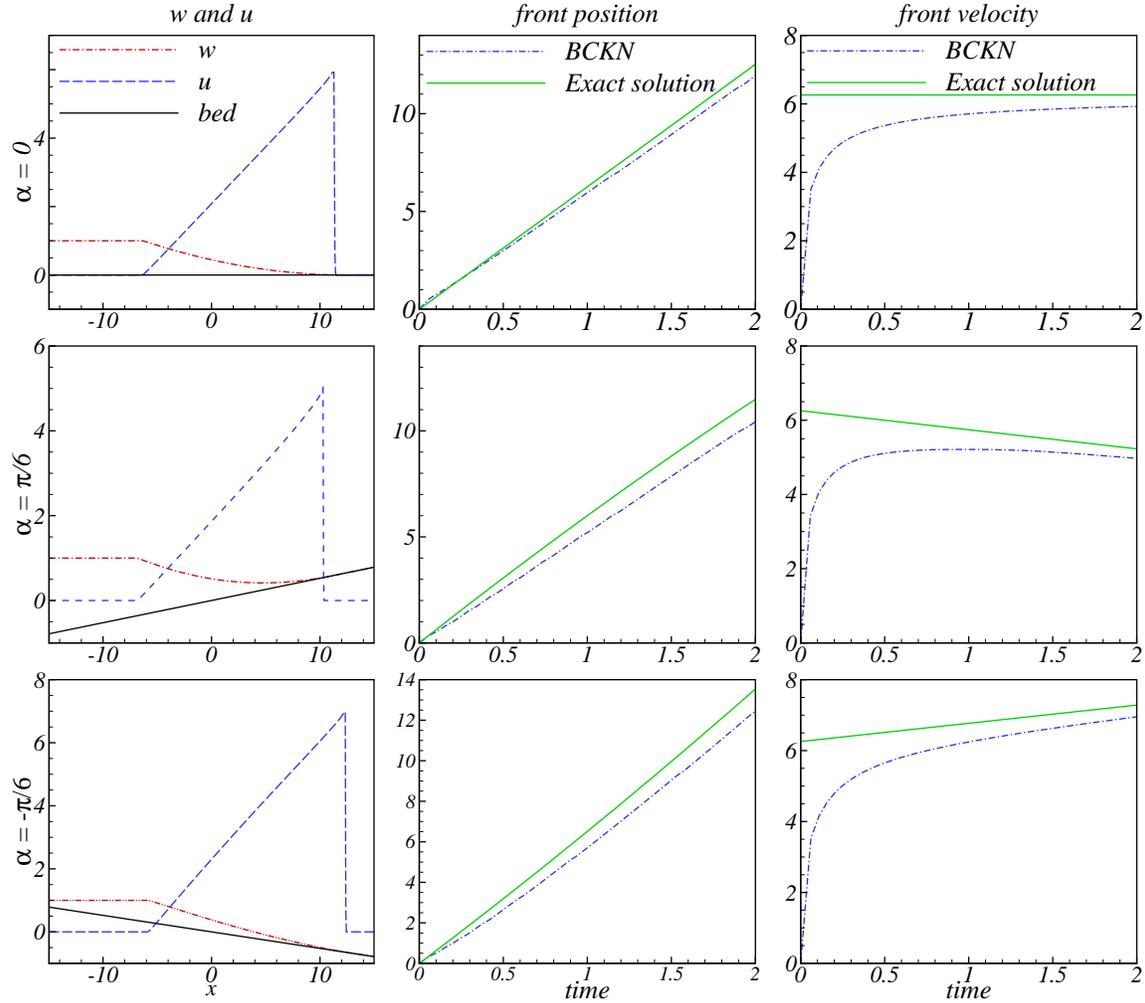


FIG. 5.6. Dam-break over a plane. Left : the numerical solution of $w=h+B$ and u ; Middle: the front position; Right: the front velocity.

429 the front velocity. We also display the exact front positions and velocities (see [4]) given by

$$430 \quad x_f(t) = 2t\sqrt{g\cos(\alpha)} - \frac{1}{2}gt^2\tan(\alpha), \quad u_f(t) = 2\sqrt{g\cos(\alpha)} - gt\tan(\alpha).$$

431 As suggested in [32], we define the numerical front position to be the first cell (counted from
 432 right to left) where the water height exceeds $\epsilon = 10^{-9}$. While the BCKN scheme, which is
 433 only second order accurate, cannot fully match the resolution of the third and fifth order
 434 schemes in [4, 32], it still performs reasonably well. What we would like to stress here is that
 435 the new scheme, which was designed to be well balanced near wet/dry equilibrium states, is
 436 also robust for shocks running into dry areas.

437 5.5. Laboratory dam-break over a triangular hump

438 We apply our scheme to a laboratory test of a dam-break inundation over a triangular

439 hump which is recommended by the Europe, the Concerted Action on Dam-Break Modeling
 440 (CADAM) project [17]. The problem consider the friction effect and then the corresponding
 441 governing equation (1.1) is changed to be

$$442 \quad \begin{cases} h_t + (hu)_x = 0, \\ (hu)_t + \left(hu^2 + \frac{1}{2}gh^2\right)_x = -ghB_x - \tau_b/\rho, \end{cases} \quad (5.3)$$

443 where $\tau_b = \rho c_f u |u|$ represents the energy dissipation effect and are estimated from bed rough-
 444 ness on the flow, ρ is the density of water and $c_f = gn^2/h^{1/3}$ represents the bed roughness
 445 coefficient with n being the Manning coefficient. For small water depths, the bed friction
 446 term dominates the other terms in the momentum equation, due to the presence of $h^{1/3}$ in
 447 the denominator. To simplify the update of the momentum, we first update the solution
 448 using our new positivity preserving and well-balanced scheme stated in section 2, 3 and 4
 449 without the bed friction effect, and then retain the local acceleration from the only bed
 450 friction terms.

$$451 \quad (hu)_t = -\tau_b/\rho = -c_f u |u| = -\frac{gn^2 u |u|}{h^{1/3}}. \quad (5.4)$$

452 A partially implicit approach [15, 24] is used for the discretization of the above equation as

$$453 \quad \frac{(hu)^{n+1} - (\tilde{h}\tilde{u})^{n+1}}{\Delta t} = -\frac{gn^2(hu)^{n+1}|\tilde{u}^{n+1}|}{(\tilde{h}^{n+1})^{4/3}}. \quad (5.5)$$

454 Resolving this for $(hu)^{n+1}$, we obtain

$$455 \quad (hu)^{n+1} = \frac{(\tilde{h}\tilde{u})^{n+1}}{1 + \Delta t gn^2 |\tilde{u}^{n+1}| / (\tilde{h}^{n+1})^{4/3}} = \frac{(\tilde{h}\tilde{u})^{n+1} (\tilde{h}^{n+1})^{4/3}}{(\tilde{h}^{n+1})^{4/3} + \Delta t gn^2 |\tilde{u}^{n+1}|}, \quad (5.6)$$

456 where \tilde{h} and \tilde{u} are given using our above stated scheme without friction term. The initial
 457 conditions and geometry (Figure 5.7) were identical to those used by [15, 24]. The experiment
 458 was conducted in a 38-m-long channel. The dam was located at 15.5 m, with a still water
 459 surface of 0.75 m in the reservoir. A symmetric triangular obstacle 6.0 m long and 0.4 m
 460 high was installed 13.0 m downstream of the dam. The floodplain was fixed and initially dry,
 461 with reflecting boundaries and a free outlet. The Manning coefficient n was 0.0125, adopted
 462 from [15]. The flow depth was measured at seven stations, GP2, GP4, GP8, GP10, GP11,
 463 GP13, and GP20, respectively, located at 2, 4, 8, 10, 11, 13, and 20 m downstream of the
 464 dam, as shown in Figure 5.7. The simulation was conducted for 90 seconds.

465 The numerical predictions using 200 points are shown in Figure 5.7. The comparison
 466 between the numerical results and measurements is satisfactory at all gauge points and the
 467 wet/dry transitions are resolved sharply (compare with [15, 24] and the references therein).
 468 This confirms the effectiveness of the current scheme together with the implicit method for

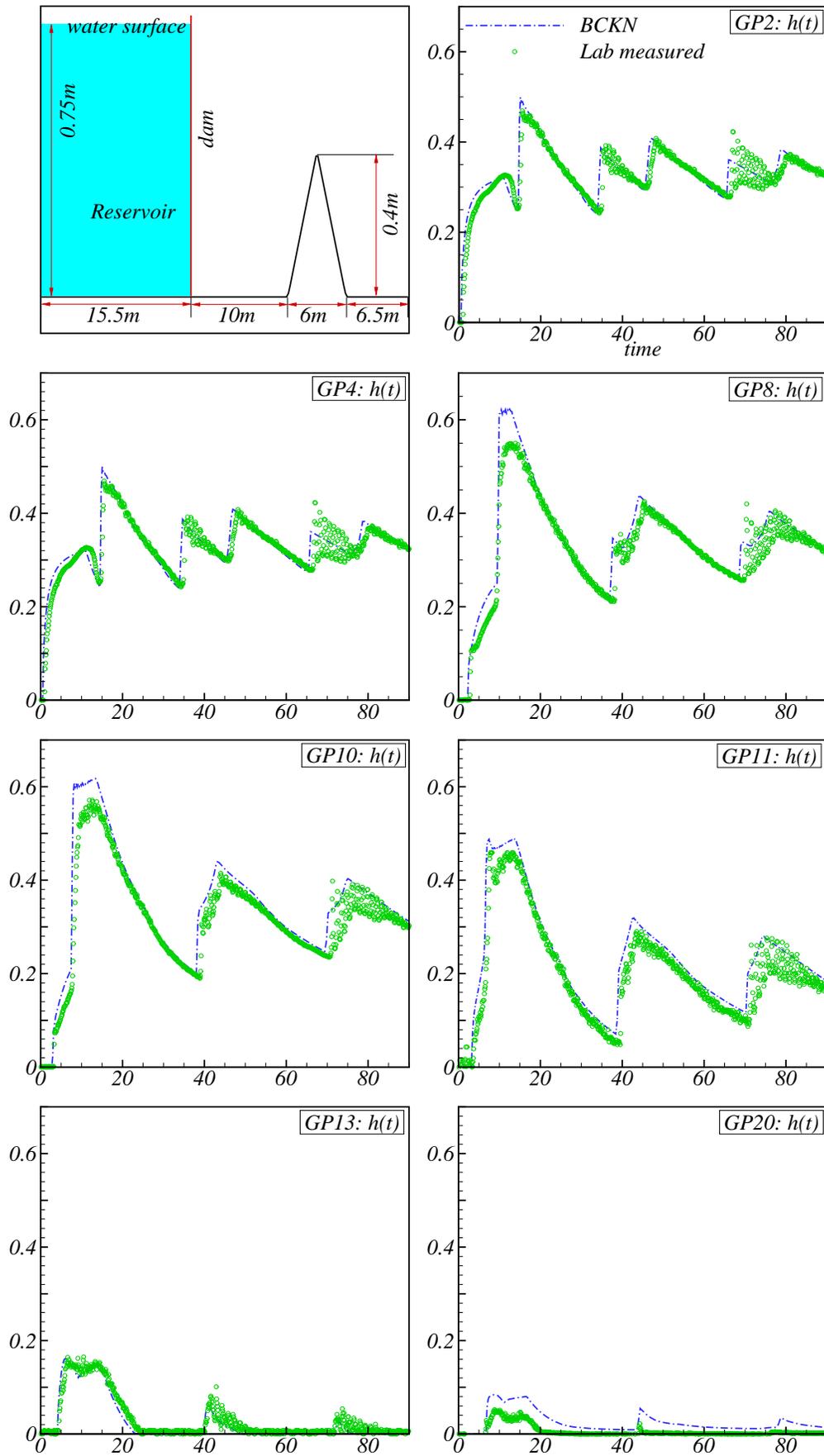


FIG. 5.7. Laboratory dam-break inundation over dry bed: experimental setup and the comparison of simulated and observed water depth versus time at 7 gauge points.

469 discretization of the friction term, even near wet/dry fronts.

470 6. Conclusion

471 In this paper, we designed a special reconstruction of the water level at wet/dry fronts,
 472 in the framework of the second-order semi-discrete central-upwind scheme and a continu-
 473 ous, piecewise linear discretisation of the bottom topography. The proposed reconstruction
 474 is conservative, well-balanced and positivity preserving for both wet and dry cells. The
 475 positivity of the computed water height is ensured by cutting the outflux across partially
 476 flooded edges at the draining time, when the cell has run empty. Several numerical examples
 477 demonstrate the experimental order of convergence and the well-balancing property of the
 478 new scheme, and we also show a case where the prerunner of the scheme fails to converge to
 479 equilibrium. The new scheme is robust for shocks running into dry areas and for simulations
 480 including Manning’s bottom friction term, which is singular at the wet/dry front.

481 **Acknowledgment.** The first ideas for this work were discussed by the authors at a
 482 meeting at the “Mathematisches Forschungsinstitut Oberwolfach”. The authors are grateful
 483 for the support and inspiring atmosphere there. The research of A. Kurganov was supported
 484 in part by the NSF Grant DMS-1115718 and the ONR Grant N000141210833. The research
 485 of A. Bollermann, G. Chen and S. Noelle was supported by DFG Grant NO361/3-1 and
 486 No361/3-2. G. Chen is partially supported by the National Natural Science Foundation of
 487 China (No. 11001211, 51178359).

488 REFERENCES

- 489 [1] E. Audusse, F. Bouchut, M.-O. Bristeau, R. Klein, and B. Perthame, *A fast and stable well-balanced scheme with*
 490 *hydrostatic reconstruction for shallow water flows*, SIAM J. Sci. Comput. **25** (2004), 2050–2065.
- 491 [2] A. Bollermann, S. Noelle, and M. Lukáčová-Medvid’ová, *Finite volume evolution Galerkin methods for the shallow water*
 492 *equations with dry beds*, Commun. Comput. Phys. **10** (2011), 371–404.
- 493 [3] A.J.C. de Saint-Venant, *Théorie du mouvement non-permanent des eaux, avec application aux crues des rivières et à*
 494 *l’introduction des vagues dans leur lit*, C.R. Acad. Sci. Paris **73** (1871), 147–154.
- 495 [4] J.M. Gallardo, C. Parés, and M. Castro, *On a well-balanced high-order finite volume scheme for shallow water equations*
 496 *with topography and dry areas*, J. Comput. Phys. **2227** (2007), 574–601.
- 497 [5] T. Gallouët, J.-M. Hérard, and N. Seguin, *Some approximate Godunov schemes to compute shallow-water equations with*
 498 *topography*, Comput. & Fluids **32** (2003), 479–513.
- 499 [6] E. Godlewski and P.-A. Raviart, *Numerical approximation of hyperbolic systems of conservation laws*, Springer-Verlag,
 500 New York, 1996.
- 501 [7] S. Gottlieb, C.-W. Shu, and E. Tadmor, *High order time discretization methods with the strong stability property*, SIAM
 502 Review **43** (2001), 89–112.
- 503 [8] S. Jin, *A steady-state capturing method for hyperbolic system with geometrical source terms*, M2AN Math. Model. Numer.
 504 Anal. **35** (2001), 631–645.
- 505 [9] S. Jin and X. Wen, *Two interface-type numerical methods for computing hyperbolic systems with geometrical source*
 506 *terms having concentrations*, SIAM J. Sci. Comput. **26** (2005), 2079–2101.
- 507 [10] D. Kröner, *Numerical schemes for conservation laws*, Wiley, Chichester, 1997.
- 508 [11] A. Kurganov and D. Levy, *Central-upwind schemes for the Saint-Venant system*, M2AN Math. Model. Numer. Anal. **36**
 509 (2002), 397–425.
- 510 [12] A. Kurganov and G. Petrova, *A second-order well-balanced positivity preserving central-upwind scheme for the Saint-*
 511 *Venant system*, Commun. Math. Sci. **5** (2007), no. 1, 133–160.
- 512 [13] R. LeVeque, *Finite volume methods for hyperbolic problems*, Cambridge Texts in Applied Mathematics, Cambridge Uni-
 513 versity Press, 2002.

- 514 [14] R.J. LeVeque, *Balancing source terms and flux gradients in high-resolution Godunov methods: the quasi-steady wave-*
515 *propagation algorithm*, J. Comput. Phys. **146** (1998), 346–365.
- 516 [15] Q. Liang and F. Marche, *Numerical resolution of well-balanced shallow water equations with complex source terms*, Adv.
517 *Water Resour.* **32** (2009), no. 6, 873–884.
- 518 [16] K.-A. Lie and S.Noelle, *On the artificial compression method for second-order nonoscillatory central difference schemes*
519 *for systems of conservation laws*, SIAM J. Sci. Comput. **24** (2003), 1157–1174.
- 520 [17] M. Morris, *CADAM: concerted action on dambreak modeling - final report*, HR Wallingford, 2000.
- 521 [18] H. Nessyahu and E. Tadmor, *Non-oscillatory central differencing for hyperbolic conservation laws*, J. Comput. Phys. **87**
522 (1990), 408–463.
- 523 [19] S. Noelle, N. Pankratz, G. Puppo, and J. Natvig, *Well-balanced finite volume schemes of arbitrary order of accuracy for*
524 *shallow water flows*, J. Comput. Phys. **213** (2006), 474–499.
- 525 [20] B. Perthame and C. Simeoni, *A kinetic scheme for the Saint-Venant system with a source term*, *Calcolo* **38** (2001),
526 201–231.
- 527 [21] M. Ricchiuto and A. Bollermann, *Stabilized residual distribution for shallow water simulations*, J. Comput. Phys. **228**
528 (2009), 1071–1115.
- 529 [22] G. Russo, *Central schemes for balance laws*, *Hyperbolic problems: theory, numerics, applications*, Vol. I, II (Magdeburg,
530 2000), 821–829, *Internat. Ser. Numer. Math.*, 140, 141, Birkhäuser, Basel, 2001.
- 531 [23] ———, *Central schemes for conservation laws with application to shallow water equations*, in *Trends and applications*
532 *of mathematics to mechanics: STAMM 2002*, S. Rionero and G. Romano (eds.), 225–246, Springer-Verlag Italia SRL,
533 2005.
- 534 [24] J. Singh, M.S. Altinakar, and Y. Ding, *Two-dimensional numerical modeling of dam-break flows over natural terrain*
535 *using a central explicit scheme*, *Adv. Water Resour.* **34** (2011), 1366–1375.
- 536 [25] P. K. Sweby, *High resolution schemes using flux limiters for hyperbolic conservation laws*, *SIAM J. Numer. Anal.* **21**
537 (1984), 995–1011.
- 538 [26] C. E. Synolakis., *The runup of long waves*, Ph.D. thesis, California Institute of Technology, 1986.
- 539 [27] C. E. Synolakis, *The runup of solitary waves*, *J. Fluid Mech.* **185** (1987), 523–545.
- 540 [28] Y.C. Tai, S. Noelle, J.M.N.T. Gray, and K. Hutter, *Shock-capturing and front-tracking methods for granular avalanches*,
541 *J. Comput. Phys.* **175** (2002), 269–301.
- 542 [29] B. van Leer, *Towards the ultimate conservative difference scheme, V. A second order sequel to Godunov’s method*, *J.*
543 *Comput. Phys.* **32** (1979), 101–136.
- 544 [30] Y. Xing and C.-W. Shu, *High order finite difference WENO schemes with the exact conservation property for the shallow*
545 *water equations*, *J. Comput. Phys.* **208** (2005), 206–227.
- 546 [31] ———, *A new approach of high order well-balanced finite volume WENO schemes and discontinuous Galerkin methods*
547 *for a class of hyperbolic systems with source terms*, *Commun. Comput. Phys.* **1** (2006), 100–134.
- 548 [32] Y. Xing, X. Zhang, and C.W. Shu, *Positivity-preserving high order well-balanced discontinuous Galerkin methods for the*
549 *shallow water equations*, *Adv. Water Resour.* **33** (2010), no. 12, 1476–1493.